

# SOM IMAGINE, INNOVATE, AND IMPACT (I<sup>3</sup>) MEDICAL TECHNOLOGY (MED TECH) RESEARCH AWARDS RFP

**MED TECH AWARDS:** The Dean of the Emory School of Medicine (SOM) is soliciting innovative research proposals to catalyze promising early-stage concepts with commercial potential that do not yet qualify for funding from external sources. The **I**<sup>3</sup> **Med Tech Research Awards** aim to cultivate inventors, innovators, and entrepreneurs driven to solve medicine's challenges and create patient impact through an interface of medicine and technology, in the areas mentioned below. Grants will be considered for:

- I<sup>3</sup> Med Tech Research Awards: Proposals with the potential to shape, de-risk, or scale up a potential new medical technology, in the areas of diagnostics, devices or digital technology (e.g., wearable devices, point of care diagnostics, novel assays) in less than <u>one year</u>. Drug development is excluded, but drug formulation or drug delivery is not. If successful, the proposal would ready the project for the next stage of translation (not necessarily to market readiness). Particularly creative ideas, even without preliminary data, will be considered provided compelling impact, feasibility and commercial potential is demonstrated.
- Note that an invention disclosure to the Emory Office of Technology Transfer (OTT) is not necessary in
  order to submit and be eligible. Also, the opportunity being developed does not necessarily have to be
  fit for development into a startup company.

Funding Availability – Award amounts are as follows:

- I<sup>3</sup> Med Tech Research Awards will be made up to \$50,000 in total direct costs. Budgets should be rounded off to the nearest \$1,000.
- The total number of awards to be made is variable based on merit and funds requested; a maximum of \$200,000 will be awarded in this round.

# Eligibility:

- Cannot be duplicative of current funding at the time of award
- Faculty may participate in more than one proposal and may serve as PI on more than one proposal
- Faculty PI with a primary appointment in the Emory SOM at the rank of Assistant Professor or above at an FTE or 0.5 or higher
- Collaborations are strongly encouraged but not required. Up to half of any budget can be for a nonprofit collaborator who is part of another non-profit entity (See "Budget" section for details.). If the proposal includes a non-SOM entity, other than the non-profit collaborator, that entity must agree to fund its share of the budget if awarded.

## Timeline

February 13, 2023 – RFP released March 30, 2023 – Proposals due by 5:00 pm May 19, 2023 – Applicant award notification



# June 1, 2023 – Project start date

May 31, 2024 – Project end date (Up to 1-year No Cost Extension (NCE) may be granted with strong justification. Funds not expended by 24 months will be returned to SOM.)

# **Application Information**

Applicants should submit the following materials using <u>InfoReady</u> no later than 5:00 p.m. (no exceptions) on Thursday, March 30, 2023.

*We recommend that applicants gather the information/documents below before beginning the application. At above link, answer:* 

- PI name and department/division
- Does your project involve investigators from more than one institution? (Yes or No)
- Title of the proposal/innovation
- Summary/Abstract (500 words maximum)
- Total amount of budget proposed (maximum of \$50,000, rounded off to nearest \$1,000)
- Period proposed (for Med Tech = 1 year)
- Single PDF Attachment (see below for format)

# Attachment – Each of the following items should be uploaded <u>as a single PDF document following this</u> <u>naming convention</u>: PI last name.first name.I3MedTech2023.pdf

- Example: Hill.Beth.I3MedTech2023.pdf
- If a PI is on more than one grant, use Doe.John1 and Doe.John2 to identify each grant
- Please include a header that appears on every page with the file name and page numbers with total pages
  - Example: Hill.Beth.I3MedTech2023
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The single PDF must include, in this order and in these sections (sections should not be combined as they will be scored separately):

- 1. **Title page** (up to 1 page) with:
  - Name of individual designated as PI, credentials, title, and department/division
  - If applicable, Co-PI name, credentials, title, and department/division
  - Co-Investigators' names, credentials, titles, and departments/divisions
  - Invention disclosure reference number (if filed; not required)
  - Must include signature of the PI, and the Chair of the PI's department must indicate approval
    of the proposal through signature on the title page
- 2. **Background** (*up to 1 page*) Describe the scope and nature of the problem the technology will be designed to address. Some elements to include are the disease burden, market space in which the product would operate, and comparison of your solution to the current and predicted standard of care. Provide a brief description of the solution. How will the proposed solution improve on how it's being done today? How does it compare to competitive technologies? Who would use it? Who would pay for it?
- 3. Proposed Solution (up to 1 page, non-confidential) Describe the underlying technical approach and



where are you in its development? If you have some very compelling preliminary data to illustrate feasibility, this is a good spot to put one or two simple figures.

- 4. What is the current status of intellectual property Describe the extent of interactions with the technology transfer office if applicable.
- 5. Project Plan (up to 2 pages) Discuss the overall project plan including a timeline with key milestones and the final goal to be achieved at the completion of the project. Include a timeline noting specific <u>milestones</u> to be used in project reporting. Define the funding requirements to achieve each milestone. How have you funded development to date? Explain how this project plan fits into an overall product development plan.
- 6. Impact (up to 1 page) What is the likelihood of significant progress in shaping, de-risking or scaling up a potential new medical technology in less than one year? Will additional or new intellectual property be generated? What is the likelihood of (and plan for) this project leading to future/follow-on external funding support? What is the magnitude of potential impact if project were to succeed in the long-term (i.e. potential for significant vs. incremental impact through delivering a medical technology to the public)?
- 7. Brief explanation of how the proposal will meet each of the I<sup>3</sup> criteria (up to 3 pages) 1) significance of the problem; 2) creativity and novelty of the solution; 3) reasonableness of the project plan, its milestones and funding needs; 4) likelihood of significant progress in shaping, de-risking or scaling up a technology within one year; 5) likelihood of leading to future/follow-on external funding support; 6) long-term commercialization potential (IP generation); 7) magnitude of potential impact if project were to succeed in the long-term (i.e. potential for significant vs. incremental impact through delivering a technology to the public); 8) PI qualifications and team readiness
- Personnel Provide the institutional affiliation, relevant background and expertise of the individuals on the team. Include (new) NIH-style biosketches for key personnel. Please use this <u>template</u>. Combine all biosketches into the single overall PDF. Biosketch instructions <u>here</u>
- 9. References (up to 2 pages) including only those which are most pertinent
- 10. Letters of support are not permitted
- 11. **Budget** (up to 1 page *using this template*) in this breakdown:
  - Dollar amount requested (rounded to the nearest \$1,000, up to a maximum of \$50,000)
  - Period requested (Med Tech = 1 year)
  - Breakdown of budget (personnel and supplies) with dollar amounts for each
  - Faculty salary support is limited to 10% of the total budget. Salary support for administrative support is <u>not</u> appropriate. Funds <u>may</u> be requested for salaries for study staff, students, postdoctoral fellows, and other study-related personnel.
  - Up to half of any budget can be for a non-profit collaborator who is part of another non-profit entity. This collaborator must be able to invoice SOM for payment. No subcontracts are allowed. No indirect costs are allowed.
  - Travel expenses and equipment are allowable with this funding mechanism.
  - Computers, general-purpose equipment, office supplies, etc. may <u>not</u> be charged to this project



- A separate budget page should be submitted for each non-SOM entity that will incur costs on behalf of this project, including a statement of commitment from each non-SOM entity to fund its share if the award is made (other than the approved non-profit collaborator).
- 12. Budget Justification (up to 1 page per institution)

## **Application formatting:**

- Use paper size no larger than 8 ½" x 11"
- Provide at least one-half inch margins (top, bottom, left, and right) for all pages
- Font size must be 11 points or larger (smaller text in figures, graphs, diagrams, and charts is acceptable as long as it is legible when the page is viewed at 100%)
- Text color must be black (color text in figures, graphs, diagrams, charts, tables, footnotes, and headings is acceptable)
- The following fonts are acceptable: Arial and Calibri

## **Review and Award Process**

The following Review Criteria will be used to score proposals:

- Primary criteria:
  - $\circ$   $\;$  Significance of the problem
  - Creativity and novelty of the solution
  - o Reasonableness of the project plan, its milestones and funding needs
  - Likelihood of significant progress in shaping, de-risking or scaling up a technology within one year
  - Likelihood of leading to future/follow-on external funding support
  - Long-term commercialization potential (IP generation)
  - Magnitude of potential impact if project were to succeed in the long-term (i.e. potential for significant vs. incremental impact through delivering a technology to the public)
  - PI qualifications and team readiness

## **Progress Reports**

Return on Investment (publications, disclosures/patents, presentations, etc.) will be tracked. Awardees are required to submit two scientific progress reports per year - due at 6 and 12 months (and 28 and 24 months in an NCE).

Awardees are required to submit brief annual progress reports for 3 years following completion of the funded award to state progress on the following metrics: publications, external grant funding, and/or further projects and follow-on funding stemming from the award, and replication of project at Emory or elsewhere.

## **Financial Reports**

The PI's department is responsible for financial management of I<sup>3</sup> awards. The PI and the PI's Department (not SOM) are responsible for reconciling project accounts as non-sponsored funds.

## **Citation Requirements**

Awardees are required to cite institutional support of the Emory SOM <u>and</u> the Georgia CTSA NIH award number (**UL1-TR002378**) on all products (publications, patents, presentations) resulting from this award.



# For content questions related to the I<sup>3</sup> Award programs, please contact:

Lisa M Carlson, MPH, MCHES Executive Administrator, Research Administration Emory University School of Medicine Lisa.Carlson@emory.edu

Or

Krista Charen, MPH Associate Director, Research Programs & Operations Emory University School of Medicine <u>Krista.Charen@emory.edu</u>

For technical questions related to the application portal, please contact: Greeshma Kombara, MPH Research Program Associate Emory University School of Medicine <u>Greeshma.Girish.Kombara@emory.edu</u>